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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/773,418	02/09/2004	Katsuhiko Hara	00862.022146.1	6585
5514 7590 04/27/2007 FITZPATRICK CELLA HARPER & SCINTO 30 ROCKEFELLER PLAZA NEW YORK, NY 10112			EXAMINER SORRELL, ERON J	
			ART UNIT	PAPER NUMBER
			2182	
SHORTENED STATUTORY PERIOD OF RESPONSE		MAIL DATE	DELIVERY MODE	
3 MONTHS		04/27/2007	PAPER	

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

# Office Action Summary

Application No.

10/773,418

Applicant(s)

HARA ET AL.

Examiner

Eron J. Sorrell

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 26 February 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 32, 33, 36-38, 41-43 and 46-55 is/are pending in the application.
- 4a) Of the above claim(s) 48-55 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 32, 33, 36-38, 41-43, 46 and 47 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 09 February 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☒ Certified copies of the priority documents have been received in Application No. 09/805,883.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

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DETAILED ACTION

*Election/Restrictions*

1. Newly submitted claims 48-55 are directed to an invention that is independent or distinct from the invention originally claimed for the following reasons: Previously examined independent claims 32,37, and 42 are directed toward an apparatus, method and system for installing a device driver on an information processing device so that information processing device can control a peripheral device, classified in 710/8. Newly submitted independent claims 48-55 are directed toward a system wherein an information processing apparatus transmits first and second programs to first and second devices for transmitting first and second data to and from the first and second devices (a method of distributed data processing) classified 709/201.

Since applicant has received an action on the merits for the originally presented invention, this invention has been constructively elected by original presentation for prosecution on the merits. Accordingly, claims 48-55 are withdrawn from consideration as being directed to a non-elected invention. See 37 CFR 1.142(b) and MPEP § 821.03.

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*Claim Objections*

2. Claims 32, 37, and 42 are objected to because of the following informalities: The claims recite in part, "wherein the device driver is obtained from the external information processing apparatus..." This language is redundant, as the previous limitation already makes clear that the obtaining means receives the driver from the external device. Appropriate correction is required.

*Claim Rejections - 35 USC § 103*

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 32, 33, 37, 38, 42, and 43 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lo et al. (U.S. Patent No. 5,911,044 hereinafter "Lo") with Poger et al. (U.S. Patent No. 6,772,420 hereinafter "Poger").

5. Referring to claims apparatus 32, method claim 37, and computer readable storage medium claim 42, Lo teaches an information processing apparatus connected with an external information processing apparatus and a peripheral apparatus via a network (see figure 3), comprising:

executing means (item 134, figure 3) for executing a scanning process using the device driver to obtain data (see lines 48-57 of column 7); and

transmitting means (item 132, figure 3) for transmitting the data obtained by the scanning process (see lines 3-12 of column 8).

Lo fails to teach the information processing apparatus comprises obtaining means for obtaining a device driver, which controls the peripheral device, delivered from the external information processing apparatus, wherein the device driver is obtained from the external information processing apparatus.

Poger teaches, in a system wherein an information processing apparatus is connected to an external device and a peripheral device via a network, the above limitation (see lines 56-64 of column 3).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to modify the system and method of Lo with the above teachings of Poger. One

of ordinary skill in the art would have been motivated to make such modification in order to automatically install device drivers for devices that may be newly connected to the network as suggested by Poger (see lines 6-14 of column 2).

6. Referring to claim 47, Lo teaches a network system comprising a peripheral device and an information processing apparatus storing a device driver for driving the peripheral device (figure 3),

executing means (item 134, figure 3) for executing a scanning process using the device driver to obtain data (see lines 48-57 of column 7); and

transmitting means (item 132, figure 3) for transmitting the data obtained by the scanning process (see lines 3-12 of column 8).

Lo fails to teach another information processing apparatus, wherein the other information processing apparatus comprises transfer means for transferring the device driver from said first information processing apparatus without receiving a request for obtaining the device driver from said second information processing apparatus and the information processing apparatus comprises obtaining means for obtaining, from said

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first information processing apparatus, the device driver transferred from said first information processing apparatus.

Poger teaches, in a system wherein an information processing apparatus is connected to an external device and a peripheral device via a network, the above limitation (see lines 56-64 of column 3).

It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to modify the system and method of Lo with the above teachings of Poger. One of ordinary skill in the art would have been motivated to make such modification in order to automatically install device drivers for device that may be newly connected to the network as suggested by Poger (see lines 6-14 of column 2).

7. Referring to apparatus claim 33, method claim 38, and computer-readable storage medium claim 43, Lo teaches the apparatus includes driving means for executing the device driver (see lines 48-57 of column 7). Incorporating the teachings of Poger cited above in the rejection of claims 32, 37, and 42, the driving step would occur after the driver has been loaded (completion of preparation of the device driver). It would have been obvious to one of ordinary skill in the art at time of the

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applicant's invention to modify the system of Lo with the teachings of Poger for the same reasons as mentioned above.

8. Claims 36,41, and 46 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lo in view of Poger as applied to claims 32, 37, and 42 above, and further in view of TCP/IP Illustrated Volume 1, The Protocols (author: W. Richard Stevens, hereinafter "Stevens").

9. Referring to apparatus claim 36, method claim 41, and computer-readable storage medium claim 46, the combination of Lo and Poger teach the apparatus, method, and computer program product of claims 32,37, and 42, however the combination is silent regarding remotely calling a reception program for receiving the device driver in the external information processing apparatus via a remote procedure call.

Stevens teaches remote procedure calls (RPC) allow clients to call functions in a server are an effective and efficient means of performing network programming (see page 462). The teachings would be applicable to the combination of Lo and Poger as the combination is a system wherein a client accesses a server to use a peripheral over network (see Lo, figure 3).



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It would have been obvious to one of ordinary skill in the art the time of the applicant's invention to modify the combination of Lo and Poger with the above teachings of Stevens such that the obtaining means remotely calls a reception program for receiving the device driver because Stevens teaches using RPCs allows for easier programming (see page 462).

#### *Response to Arguments*

10. Applicant's arguments with respect to claims 32, 37, and 42 have been considered but are moot in view of the new ground(s) of rejection.

#### *Conclusion*

11. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened

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statutory period, then the shortened statutory period will expire on the date the advisory action is mailed; and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Eron J. Sorrell whose telephone number is 571 272-4160. The examiner can normally be reached on Monday-Friday 8:00AM - 4:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kim Huynh can be reached on 571-272-4147. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

EJS  
April 19, 2007



KIM HUYNH  
SUPERVISORY PATENT EXAMINER

4/20/07